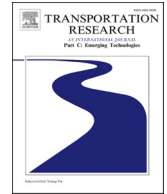




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4D flight trajectory prediction using a hybrid Deep Learning prediction method based on ADS-B technology: A case study of Hartsfield–Jackson Atlanta International Airport (ATL)

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ABSTRACT

At the core of any flight schedule is the four dimensional (4D) trajectories which are comprised of three spatial dimensions with time added as the fourth dimension. Each trajectory contains spatial and temporal features that are associated with uncertainties that make the prediction process complex. Because of the increasing demand for air transportation, airports and airlines must have optimized schedules to best use the airports' infrastructure potential. This is possible using advanced trajectory prediction methods. This paper proposes a novel hybrid deep learning model to extract spatial and temporal features considering the uncertainty for Hartsfield–Jackson Atlanta International Airport (ATL). Automatic Dependent Surveillance–Broadcast (ADS–B) with a vast amount of spatial and temporal flight attribute data, are used in this paper as input to the models. This research is conducted in three steps: (a) data preprocessing; (b) prediction by a hybrid Convolutional Neural Network and Gated Recurrent Unit (CNN-GRU) along with a three dimensional (3D-CNN) model; (c) The third and last step is the comparison of the model's performance with the proposed model by examining the experimental results. The deep model uncertainty is considered using Mont-Carlo dropout (MC-Dropout). Mont-Carlo dropouts are added to the network layers to enhance the model's prediction performance by a robust approach of switching off between different neurons. The results show that the proposed model has low error measurements compared to the other models (i.e., 3D CNN, CNN-GRU). The model with MC-dropout reduces the error further by an average of 21 %.

1. Introduction

To be sustainable, airports and airlines must use all of their infrastructure potential to optimize their activities and maximize their benefits as the demand for air transportation increases. Major airports need optimized flight schedules with millions of aircraft trajectories. The Statistica website shows an increase in passenger traffic and flight operation between 2000 and 2019, from nearly 80 to 110 million passengers, demonstrating the importance of this research (Statistica, 2021).

The United States has the Next Generation Air Transportation System (NextGen) program and the European Union has the Single

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European Sky ATM Research Program (SESAR) (Luckenbaugh et al., 2007; Harrison, 2006; Sipe and Moore, 2009). NextGen, SESAR, and China's Next Generation Air Traffic management programs are Trajectory-Based Operations (TBO). Thus, these programs show the importance of trajectories and flight trajectory predictions in air traffic management. This paper focuses on the NextGen program based on the selected case study, which aims to optimize the flight schedule and make the flights safe by assisting controllers.

The world's busiest airport, Hartsfield–Jackson Atlanta International Airport (ATL), is selected as the case study of this research. Hartsfield-Jackson earned the world's busiest airport title after seeing more than 107 million passengers in 2018 and held that title for 21 consecutive years. It is important to optimize the usage of infrastructure in this airport and its sky for this airport and operational airlines and all over the world because of the consecutive effects of world flights on each other.

4D trajectory prediction includes time, longitude, latitude, and altitude. It calculates these four main aircraft parameters for the future waypoint sequence based on the historical flight data. According to the time scale, 4D trajectory prediction can be divided into the following categories (Guan et al., 2014):

1. **Tactical (short-term) prediction:** Short-term approaches require the fastest response to handle current emergencies, which will be within several minutes or even seconds.
2. **Strategical (long-term) prediction:** Long-term approaches can take advantage of full information to predict trajectories strategically.

We use strategic 4D trajectory prediction, and this prediction can be affected by uncertainties such as pilot bias, wind, weather conditions, etc. These uncertainties can decrease our model's prediction efficiency. Accordingly, we propose a dropout method based on a Bayesian model to manage the uncertainty and increase the efficiency of the proposed prediction model. The deep learning approach used in this paper has been employed to process time series-related predictions such as pedestrian trajectory, vehicle trajectory, traffic flow prediction, etc., in the past (Guan et al., 2014; Li et al., 2017; Liu et al., 2017).

This paper proposes a hybrid CNN-GRU with a C3D Deep learning model for strategical (long-term) 4D trajectory prediction for the ATL airport. CNN's automated deep feature extraction power efficiently and accurately predicts the trajectory's spatial and temporal separated data. This approach contains four main parts. In the first step, (a) the ADS-B dataset is prepared by interpolating the data and then separating the dataset into spatial and temporal parts; (b) Principal Component Analysis (PCA) is implemented for dimensionality reduction; (c) a sliding window technique is used for input generation to implement the proposed hybrid prediction model to obtain the results; (d) the results of the proposed model and a comparison between the proposed model and other deep learning models are presented. We demonstrate the best model for strategic flight trajectories prediction in ATL airport.

This paper is organized as follows. In section 2, we introduce the related works. Section 3 describes the opensky data that provides the foundation for our study. In section 4, we describe our approach and methodology in detail. In section 5, we share the results of our experimental analysis. We conclude in section 6.

2. Related works

Improvements in surveillance equipment has led to increasing worldwide use of this technology, and the advantage of easy access to data. 4D trajectory prediction for schedule monitoring and assessment, and even optimization has gained attention from the air traffic organizations and researchers worldwide. Zhang et al. (2018) proposed an online four-dimensional trajectory prediction (4D-TP) method using an ADS-B Receiver and corresponding data processing algorithm. That paper also presents an exceptionally clear tutorial on this problem. Zhang and Mahadevan (2020) increased en-route flight safety by developing deep learning models for trajectory prediction, where model prediction uncertainty is characterized by a Bayesian approach applied through a hybrid deep learning model. Wang et al. (2018) proposes a novel hybrid model to address the short-term trajectory prediction problem in the Terminal Maneuvering Area (TMA) by applying machine learning methods. That paper uses Multi Convolutional Neural Network (MCNN) and Random Forest (RF) models and, in the end, compares MAE and RMSE errors. Ma and Tian (2020) propose a 4D trajectory prediction hybrid architecture based on deep learning, which combined Convolutional Neural Network (CNN) and Long Short-Term Memory (LSTM). According to that research, the hybrid approach has more accuracy than implementing CNN and LSTM networks. Guo et al. (2020) proposes an innovative deep learning-based mapping to cube architecture for network-wide urban traffic forecasting to improve the limitation of traffic data availability and quality. Experiments using real taxi GPS vehicle trajectory data confirm the accuracy and effectiveness of the proposed approach combining 3-Dimensional Convolutional Networks (C3D) with Convolutional Neural Networks (CNNs) and Recurrent Neural Networks (RNNs), called CRC3D as a hybrid method integrating CNN-LSTM and C3Ds. Lambelho et al. (2020) propose a generic assessment of such strategic schedules using prediction about arrival/departure flight delays and cancellations for London Heathrow Airport (LHR). Shi et al. (2020) propose a constrained Long Short-Term Memory network for flight trajectory prediction. Wu et al. (2019) solved the problem that traditional trajectory prediction methods cannot meet the requirements of high-precision, multi-dimensional, and real-time prediction model based on backpropagation (BP) neural network was studied and trained to utilize Automatic Dependent Surveillance-Broadcast (ADS-B) trajectory from Qingdao to Beijing to predict the flight trajectory at future moments. Zeh et al. (2019) proposed an approach applied to typical uncertainties in trajectory prediction, such as the actual take-off mass, non-constant true airspeed, and uncertain weather conditions. In general, this paper's approach applies to all sources of quantifiable interdependent uncertainties. The vast use of hybrid models and their combination with ADS-B Surveillance data is clear, and scientists worldwide are interested in this field because of its advantages and flight-related organization's demand for Trajectory-based operations programs such as NextGen and SESAR.

3. Data

Automatic Dependent Surveillance-Broadcast (ADS-B) is a technology to connect aircraft and ground stations. This connection is of two types: (a) Aircraft-Aircraft; (b) Aircraft-Ground Stations. ADS-B data provides the vast majority of data about aircraft, signals, sensors, etc. ADS-B messages consist of static and dynamic components. The static data implies the taking-off and landing at airports, callsign, and scheduled airways. The dynamic component is 4D data, which mainly includes timestamps, positions (i.e., latitude, longitude, and altitude), velocity, and heading (Lambelho et al., 2020). The dynamic elements of the ADS-B data are used in this paper.

The dataset used in this paper is taken from the OpenSky network via limited access to the data set. We mine the trajectory data for ATL airport, historical data from March 30, 2016, to March 30, 2020. Each trajectory shows aircraft taking-off, landing, and flying over ATL airport, and every trajectory contains the data mentioned in Table 1.

Each data record with the same number belongs to the aircraft i , and the collection of records from the aircraft are presented as the trajectory T_i (Wang et al., 2017). In this paper, we use all trajectory data from the geographic positions of ATL airport and take advantage of trajectory data for flight departure, arrival, and flying over the ATL airport.

4. Methodology

This section proposes a hybrid deep learning model for predicting the 4D trajectory of flights through historical ADS-B data. The approach includes two main models:

1. A hybrid CNN-GRU model to fully extract spatial-temporal features of flight trajectories.
2. A three-dimensional CNN to predict spatial-temporal feature flight state.

After splitting the dataset into spatial and temporal parts, we merge the results to generate the high-level and accurate spatial-temporal feature mapping. In the last layer of the proposed regressor model, the linear activation function is implemented to predict 4D flight trajectories. In this paper, we characterize network model prediction uncertainty from a Bayesian perspective utilizing the Monte Carlo Dropout method. Model prediction uncertainty is quantified with the dropout strategy and propagated through the integrated model with Monte Carlo samples (Zhang et al., 2018).

The proposed architecture of the 4D trajectory prediction utilizing deep hybrid CNN-GRU model based on ADS-B data is shown in Fig. 1. As shown in Fig. 1, ADS-B signals broadcast from the airplanes to the ground stations. After storing in the cloud center at Opensky, we preprocess the data and predict the trajectory values through a deep CG3D model.

In section 3.1, the ADS-B data are preprocessed. The missing data are imputed by means of cubic spline interpolation in the dataset. Section 3.2 separates the data into two separate groups: (a) Spatial; (b) Temporal. Section 3.3 presents the prediction of the 4D flight trajectories propagated by the sliding window method for ATL airport using the proposed CNN-GRU with a 3D CNN approach. In 3.4 model prediction uncertainty is characterized from a Bayesian perspective through Monte Carlo and compares the model with MC Dropout and the model without MC Dropout.

4.1. Data preprocessing

Due to system errors, signal occlusion, etc., the real ADS-B trajectory data has problems such as repeated trajectory samples and missing trajectory samples. These problems in the ADS-B dataset are common due to interrupted surveillance of the data between the airplanes and ground receivers (Wang et al., 2018). These data anomalies affect the prediction performance, and the trajectories with a large amount of missing data need to be preprocessed. The interpolation methods can be used for this aim.

In this part, we prepare the dataset for the next sections and, particularly, prediction. First, we interpolate the data to take care of missing data using cubic spline interpolation, and this method interpolates the dataset smoothly and more accurately. A sliding window approach contains 100 trajectory data points and slides over every-five trajectories to generate the input and output data to feed the network. Principal Component Analysis (PCA) is applied to the separated data to scale and reduce the dimensions of the data. The schema of the preprocessing is shown in Fig. 2.

Table 1
Features of trajectories.

Features	Unit	Instance in trajectory
Timestamp	Unix	1,478,874,138
Aircraft Number	Icao24	aaa83f
Longitude	Degree	33.79832
Latitude	Degree	-84.47560
Altitude	Feet	12184.38
Velocity	Knot	221.5576
Heading	Degree	348.4813
Vertical Rate	Feet/Min	-0.32512
Call sign	XXX	EJA786
Hour	Unix	1,478,872,800

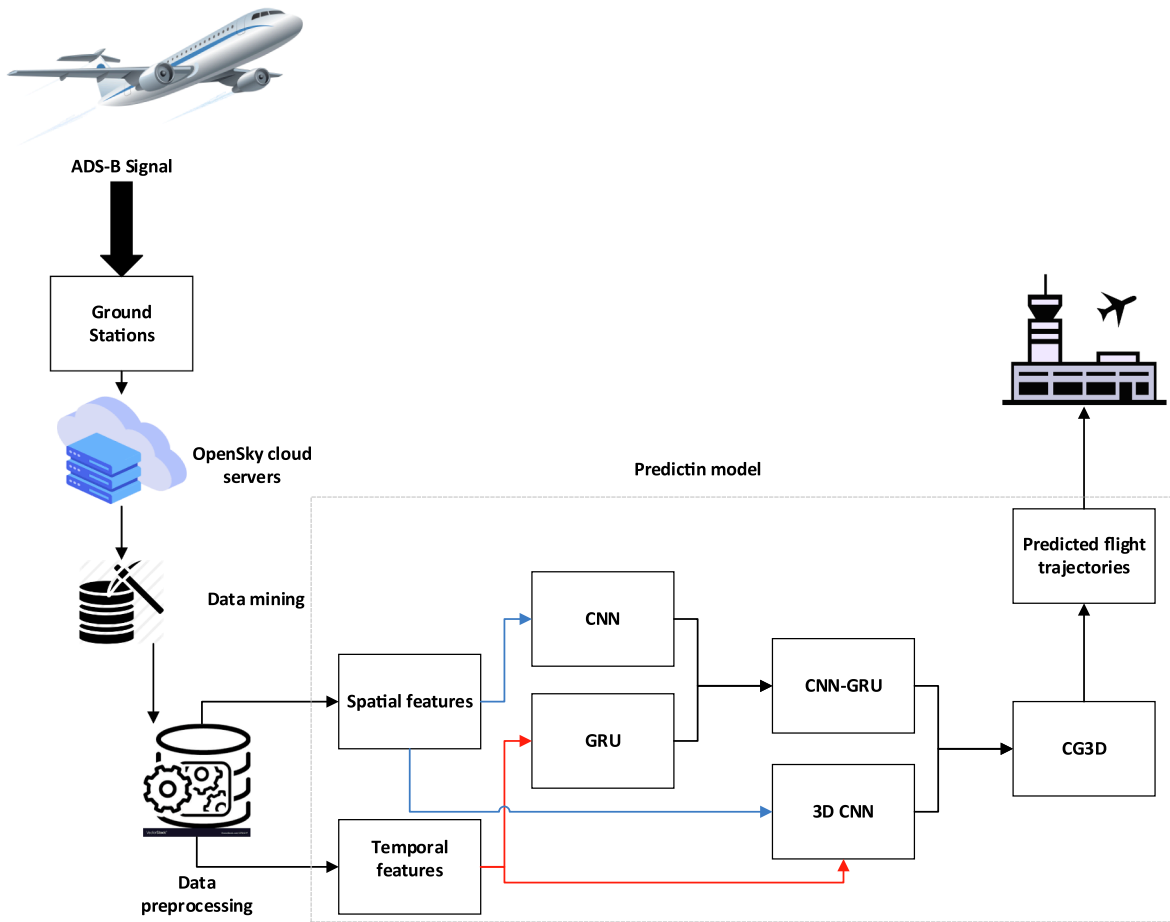


Fig. 1. Methodology schematic.

4.2. Categorize the dataset

According to the different attributes of the data and the need to extract them with other types, we divide them into two separate categories: spatial and temporal. Spatial data contains attributes such as longitude, latitude, and altitude, etc. And also, temporal data contains attributes such as time, velocity, etc. The separation of the input data with special features can improve the performance of different models.

Feeding the CNN with spatial data and GRU with temporal data enhanced the prediction efficiency. GRU has a low memory structure, and because of that, it is appropriate for temporal feature extraction. The GRUs are the best for short-term prediction, and to have a robust model for long-term strategical prediction, and this model needs to be combined with CNN to handle the spatial features.

4.3. Prediction

4D Predicted trajectories are the principal elements of this approach. This section proposes a deep hybrid model with high performance. This hybrid model contains a combination of a hybrid Convolution Neural Network (CNN) and sub-model of Recurrent Neural Network (RNN), called Gated Recurrent Units (GRU) with a 3-Dimensional Convolution (C3D), called CG3D, which we use for 4D flight trajectory prediction. CNN-GRU is a powerful deep learning model, a CNN part for spatial data extraction and GRU for long-term temporal data extraction. In this approach, the C3D model is used to predict future flight trajectory states. Each model is fed with appropriate spatial or temporal features and then assemble the results to generate a high-level spatiotemporal feature mapping. In the next step, according to the results, we implement the Monte Carlo (MC) Dropout method for uncertainty and compare it with the model



Fig. 2. Preprocessing the dataset.

MC Dropout uncertainty. We put the features into a linear activation in the last part to obtain the best prediction performance.

4.3.1. Sliding window

This subsection’s purpose is to define a sliding window along the dataset trajectory vectors’ timestamps to generate the input data. The ADS-B Dataset used in this paper has millions of time series with multi-dimensions that correspond to the time series. We proposed a regression model using ADS-B data to predict 4D flight trajectories accurately. The sliding window is a technique that forecasts the following time step using previous time steps. As a supervised learning problem, 4D trajectory prediction requires splitting the trajectories into training samples and labels. In Fig. 3, the columns in the graph indicate training features and the rows are time steps. The principle is: first, we begin by picking the first 100 time series and training features time, longitude, latitude, velocity, heading, and vertical rate of those 100-time steps. This window takes the 100 timestamps as the input data to forecast the time, longitude, latitude, velocity, heading, and vertical rate of the next five trajectory points. The window then slides down one time in the following phase, and the same process is followed. For the next step, we slide the window down for one time step and do the same procedure It continues to where the last training sample is selected (Wang et al., 2020). This approach helps the proposed deep learning model to maintain continuity and prevent compromising the dynamic interdependence of adjacent states in long-term sequences, which enhances trajectory prediction accuracy. The proposed hybrid model can follow each trajectory phase and converges rapidly. The process of the sliding window is shown in Fig. 3.

4.3.2. CNN-GRU

As mentioned before, one of the two parallel parts of our hybrid approach is CNN-GRU. This hybrid model extracts the Spatio-temporal features of the trajectories.

CNN is a neural network with a deep structure. Every CNN consists of four main layers, data matrix input, convolution, pooling, and fully connected layer. This method is powerful in processing image-related problems (Alipourfard et al., 2018; Vo et al., 2017). In this paper, we take advantage of this attribute and use it for spatial extraction in our model. CNN contains convolutional layers to extract

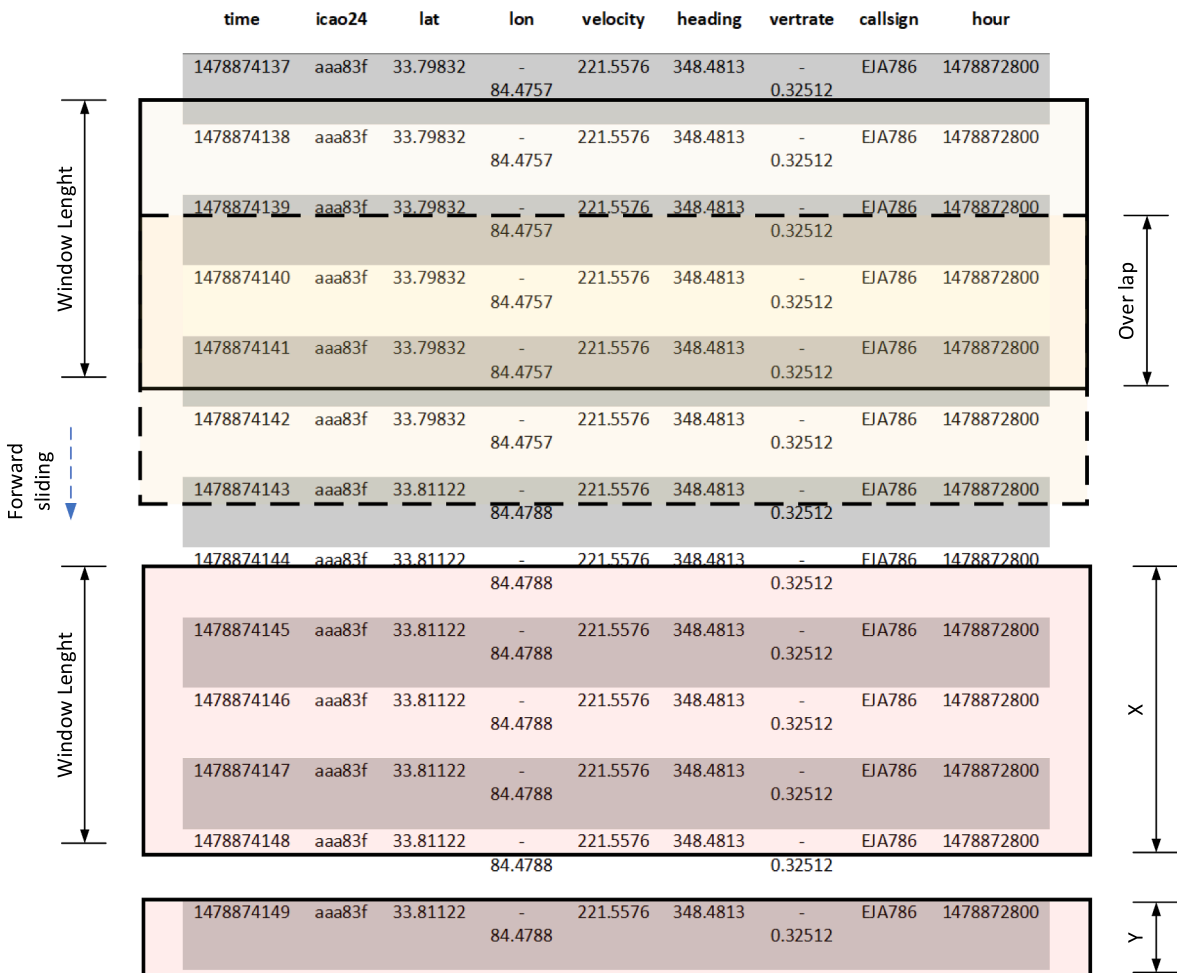


Fig. 3. Sliding Window Mechanism.

intrinsic spatial features through a grid mapping feature extraction, based on the kernel in every layer. Following the convolutional body, the FC network serves as a predictor module where, through a backpropagation process, the network is optimized to reach the minimum error between actual and predicted trajectories, convolutional performance takes full advantage of the information in the nearby areas of the data matrix. Parameter matrix size reduction is implemented by means of sparse connection and sharing weights. In the next step, this model builds a feature map with a pooling layer. We can build a CNN containing more than one convolution and pooling layer. With more layers of convolution and pooling, and we can get more accurate predictions to extract the spatial features, and by means of this built series, we can extract the spatial features in every time frame (Peng et al., 2019). We take advantage of CNN to extract the spatial features of the trajectory data.

In terms of mathematics, we set O_{mn}^{xy} As a value of the cell at the position (x, y) in the n th feature map and m th layer, it is achieved from equation (1).

$$O_{mn}^{xy} = \tanh \left(b_{mn} + \sum_h \sum_{p=0}^{P_m-1} \sum_{q=0}^{Q_m-1} \omega_{mnh}^{pq} \cdot O_{(m-1)m'h}^{(x+p)(y+q)} \right) \tag{1}$$

Where $f(\cdot)$ is the activation function.e.g., ReLU (Rectifier Linear Unit). b_{mn} shows bias and ω_{mnh}^{pq} is weight, where h is the index of the feature map in the $(m - 1)$ layer and (p, q) defines the position of the weights in the filter. P_m and Q_m demonstrate respectively the height and width of the filter. By means of contiguous calculations, we reduce the size of feature maps. So, the CNN output is a fully-connected layer.

GRU is a derived method from the RNN, which is appropriate for processing time series and extracting the temporal features. During backpropagation, RNN has the problem of gradient vanishing. Gradients are values that are used to update a neural network’s weights. It has an internal mechanism called a gate, which can regulate the flow of information.

LSTM is another alternative for solving the short memory problem of the RNN, which has all the advantages of the RNN plus the ability to solve the long-term dependencies problem without vanishing the gradient effect, just like the GRU. This method is implemented for long-term trajectory data prediction. This method works the same as the GRU. The whole mechanism and difference between them are shown in Fig. 4. (Michele Phi, 2018).

The mechanism in the LSTM is to add a cell layer to the model to make sure the transfer of hidden state from one iteration to the next is appropriate and reasonable. Gated Recurrent Unit (GRU) takes everything related to this subject to a higher level, and the GRU has gating units that adjust the information flow inside the unit without dedicating an individual memory cell. Thus, GRU can efficiently be used for long-term temporal predictions. According to the higher level of GRU and its efficiency, we discuss the mechanism of GRU as follows.

The whole mechanism of GRU is summarized in four main parts. First is the Update gate, it starts with calculating the update gate z_t for time step t by means of equation (2).

$$z_t = \sigma(W^{(z)}x_t + U^{(z)}h_{t-1}) \tag{2}$$

When x_t is applied into the network unit, it is multiplied by its weight $W^{(z)}$. The same will happen for h_{t-1} which keeps the information for the previous $t - 1$ units and is multiplied by its own weight $U^{(z)}$. This section adds both results and applies the linear activation function to squash the results. The second main part is the reset gate, and this part is used for the model to decide how much of the past information is forgotten and how much of it is kept by equation (3).

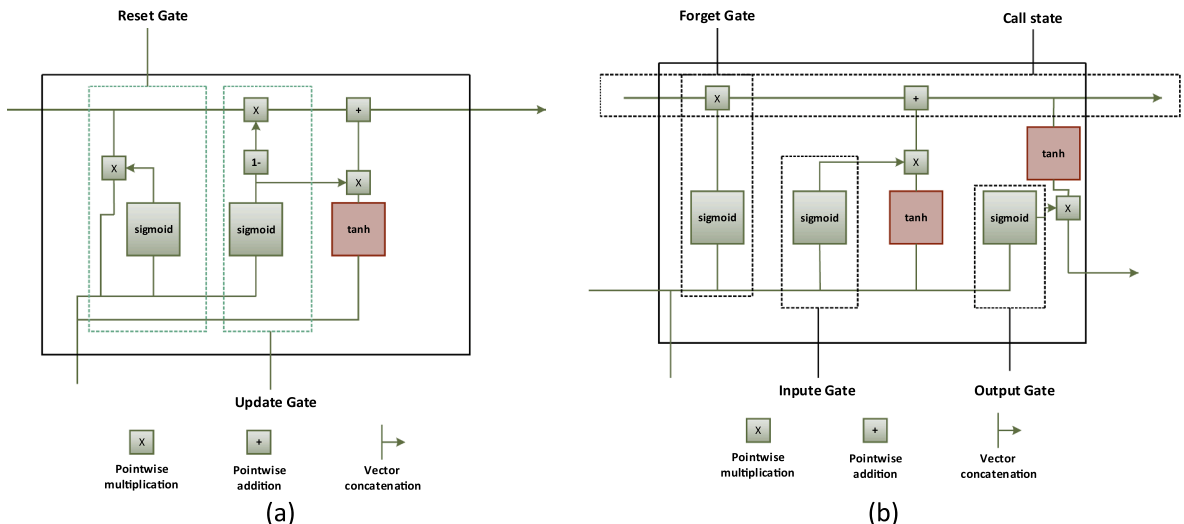


Fig. 4. (a) GRU Structure; (b) LSTM Structure.

$$r_t = \sigma(W^{(r)}x_t + U^{(r)}h_{t-1}) \tag{3}$$

The difference between parts one and two are weight and gate usage. Now we add the results with their corresponding weights and apply the linear activation function.

The third part concerns the current memory content, and we see the effect of gates on the final output. We start with the use of the reset gate to store the relevant information from the past. It is achieved using equation (4).

$$h'_t = \tanh(Wx_t + r_t \odot Uh_{t-1}) \tag{4}$$

First, we multiply the input x_t with a weight W and h_{t-1} with a weight. In the next step, calculate the Hadamard (element-wise) product between the reset gate Uh_{t-1} . That will determine what to eliminate from the previous time steps.

Third, we sum up the results of steps one and two. In the final subset of this main part, we apply the nonlinear activation function (i. e., \tanh).

The fourth part is the final memory step in which the network needs to calculate the h'_t vector, which keeps information for the current unit and passes it down to the network. For this purpose, the model needs an update gate. It determines what to collect from current memory content h'_t and what from the previous step h_{t-1} the process is:

$$h_t = z_t \odot h_{t-1} + (1 - z_t) \odot h'_t \tag{5}$$

First, apply element-wise multiplication to the update gate z_t and h_{t-1} . next is, apply element-wise multiplication to $1 - z_t$ and h'_t . Then add the results from the previous two steps.

They are able to store and filter the information using their update and reset gates. So, this model can vanish the gradient problems and perform well. GRUs have fewer tensor operations, and this advantage makes GRUs much faster than LSTM, training-wise.

4.3.3. 3D CNN

The second branch of our hybrid model and approach is a 3-Dimensional convolutional neural network or C3D. C3D is a deep 3-Dimensional convolution neural network with a homogeneous architecture of $3 \times 3 \times 3$ convolution kernels and $2 \times 2 \times 2$ pooling layers. The advantage of the C3D is that it extracts both spatial and temporal features.

The 3D convolution comes from convolving a 3D kernel to the cube formed by stacking multiple contiguous frames together. According to this, the feature maps in the convolution layer are connected to the multiple contiguous frames from the previous layer to get motion information. The below equation shows the value at three x, y, z dimensions, and j th feature maps in the i th layer.

$$v_{ij}^{xyz} = \tanh \left(b_{ij} + \sum_m \sum_{p=0}^{P_i-1} \sum_{q=0}^{Q_i-1} \sum_{r=0}^{R_i-1} \omega_{ijm}^{pqr} v_{(i-1)m}^{(x+p)(y+q)(z+r)} \right) \tag{6}$$

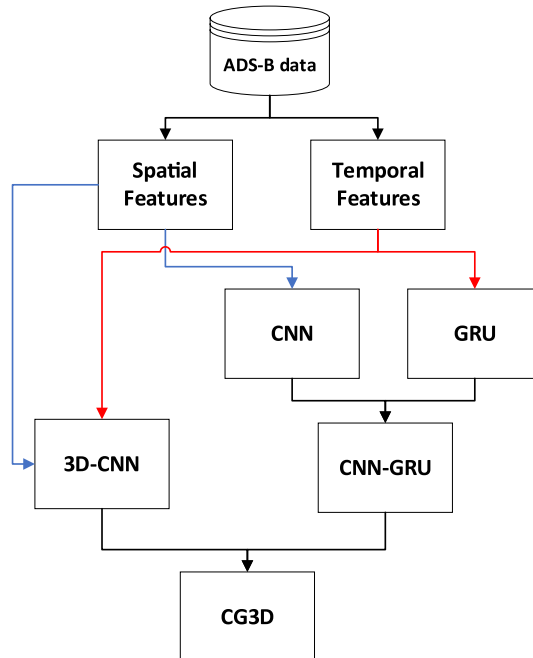


Fig. 5. CG3D schematic.

Where R_i is the size of the 3D kernel along the temporal connection $ijmpqr$ is the (p, q, r) th value of the kernel connected to the feature map in the previous layer. This method is able to extract only one type of feature from the frame cube since the kernel weights are replicated across the entire cube. To solve this, we increase the number of feature maps in the later layers by implementing the multi-type features from the set of lower-level feature maps (Ji et al., 2012; Guo et al., 2019).

Using this method, we extract the spatial and temporal features of the trajectories. The difference between implementing 2D convolution and 3D convolution here is that in 2D convolution, we can only extract spatial features, but this 3D model gives us the advantage of extracting the spatial and temporal features at the same time with better accuracy. In this paper, this method is parallel to the CNN-GRU model, as shown in Fig. 5.

4.3.4. Hybrid CG3D

This section is about concatenating the results of two parallel bodies of our proposed approach and completing the whole model. In this section, we get the results from two models of prediction, CNN-GRU, C3D, and concatenate them together, then implement a reshape to show the final result. The final model is a hybrid CG3D model, which has the advantage of high accuracy compared to other trajectory prediction models. The model architecture which demonstrates the whole approach, is shown in Fig. 5.

4.4. Network uncertainty

Air traffic is a complex system with input uncertainties: weather condition changes, inconstant airspeeds, actual take-off mass, and model uncertainties (Zeh et al., 2019). These uncertainties can impact efficiency in operations and, in particular, trajectory prediction. Suppose one of these uncertainties is not taken into account. In that case, it could cause a problematic situation with severe consequences on air traffic (Rivas et al., 2017; Srivastava et al., 2014).

There are two types of uncertainty for the modeling process.

1. Aleatoric Uncertainty: In this type, uncertainty is representative of unknowns that differ across natural experiments, uncertainty cannot be eliminated.
2. Epistemic Uncertainty: This uncertainty can be reduced by adding more knowledge and parameters about the model, gathering more data, etc.

We should focus on the Epistemic type of uncertainty because of its capability to reduce uncertainty. This paper focused on model uncertainty. Using the MC Dropout technique for reducing model uncertainty helped us improve our deep learning model. We consider a Bayesian perspective for our uncertainties, this perspective has currently got the attention of many researchers (see for example, Wang and Manning, 2013; Maeda, 2014).

In this paper, we apply a significant approach that can provide uncertainty estimation at prediction time for every neural network, particularly our hybrid model, called Dropout, which is based on a Bayesian algorithm. This method has advantages like preventing overfitting (Gal and Ghahramani, 2016; Herzog and Ostwald, 2013). Monte Carlo Dropout (MC Dropout) has a procedure to ignore a random subset of neurons in a network layer at every batch evaluation and running part of the model. In terms of comparison, this method enhances the prediction performance of the proposed model.

We should identify an appropriate dropout rates to get the best results for our prediction. MC Dropout has a number of bold advantages, this technique can boost the performance of any trained model, but there is no need to train it again or modify it. MC Dropout is much better at measuring model uncertainty, and it has the important attribute of being simple to implement compared to other models and techniques (Géron, 2019). We decided to add MC Dropout to our proposed model and compare the training and prediction results in section 5, Table 4.

5. Experimental results

In this section, we demonstrate the computational results and their implications. Here, we use OpenSky ADS-B data, from March 30, 2016, to March 30, 2020, which includes nearly 300,000 flights per month, implemented by means of the Keras TensorFlow backend. The data are broadcast every second; based on that, the number of data points is almost 20 million for ATL at the selected period. For the additional information, we used PCA, so the data is normalized, we chose a 20 % split percentage for the validation and training. The model and its bodies all have batch normalization layers and dropout layers with 0.1 and 0.2 drop rates. Table 2 shows the training time for each model. The 3D-CNN model has a good performance and training time as shown in Table 3. Still, the proposed CG3D model has a lower training time and also is more flexible to adapt to unexpected situations, which are common in aviation. CG3D

Table 2
CG3D model training time in comparison to the other models.

Model	Training runtime (s)
CG3D	30,132
3D CNN	31,072
CNN-GRU	33,113

takes advantage of all CNN, GRU, and 3D-CNN models. Then, we compare the results of the proposed model to the other models using different metrics and plots shown in the following sections. The system we used for training the dataset is a notebook with a Core i7 10750H CPU, RTX2060 GPU, and 16 GB of RAM. For the number of epochs, we choose 500, and the batch size is 512 for all the models.

5.1. Performance measurement

Mean Absolute Error (MAE) and Root Mean Squared Error (RMSE) indicators are used for the performance measurement. Each of these metrics is computed with the equations below:

$$MAE = \frac{1}{n} \sum_{i=1}^n |F_p - F_t| \quad (7)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (F_p - F_t)^2} \quad (8)$$

Where F_p represents the prediction of our proposed model and each of the comparison models and F_t represents the actual value of the data.

The results are shown in Tables 3 and 4. The proposed CG3D model is more accurate than the other model, and the proposed model with the MC Dropout is more accurate than the one without MC Dropout.

As shown in Fig. 6, a, and b, the error measurement results indicate higher accuracy and better performance of the CG3D model compared to the other models. The error measurements RMSE and MAE for the proposed model vary within a larger range than other models, but the model has a lower average error value. Fig. 6, c, and d represent the MSE loss and MSE validation loss for each model. The values in d are lower than those in c for all models, which suggests that overfitting is not a problem. Again the CG3D CNN with MC Dropout has the best performance.

Figs. 7 and 8 show decreasing loss and validation loss for the proposed model with MC dropouts and without MC dropouts respectively. These plots demonstrate that the proposed model with the MC Dropout is reached an average 21 % lower error value for the 4D flight trajectory prediction than the model without dropout.

6. Conclusion and future research

This paper proposes a hybrid deep learning model for 4D flight trajectory prediction. The model combines a CNN-GRU and a 3D-CNN model for spatial-temporal feature extraction and prediction. The model is implemented for the Hartsfield-Jackson Atlanta International Airport (ATL) based on the historical Automatic Dependent Surveillance-Broadcast (ADS-B) dataset. This model has the advantages of higher prediction accuracy, long-term prediction, and sufficient spatial-temporal feature extraction compared to the other prediction models (i.e., CNN-GRU or 3D-CNN alone). MAE and RMSE indicators are used as objective error functions to evaluate the performance of the 4D trajectory prediction models. The prediction performance of the proposed model is compared with CNN-GRU, 3D-CNN, and the proposed model without the MC Dropout using these error measurements. The experimental results show the proposed model performs well with the MC dropout compared to other deep learning models.

Efficient and robust strategical flight schedules will help airports, airlines, and also passengers. The proposed model can precisely predict 4D flight trajectories. The error values decreased by an average of 20–35 % compared to the other models, demonstrating the improvement of the proposed model.

The following steps need to be further investigated in future studies. Preprocessing steps can be taken to eliminate data anomalies such as missing and duplicated data. The performance of deep prediction models can be compared based on different input data. The input to the model can either be a unified dataset, and a separate dataset consisting of Spatio-temporal features.

This paper uses a novel separated Spatio-temporal input approach. We compare the prediction with our novel separated Spatio-temporal approach to the those with unified input. In future research, consideration of different sources of uncertainty in the input data consisting of data of different flight phases (i.e. take off, landing, and en route) can be evaluated. In addition, communication between pilots, air traffic controllers and ground operations personnel would further advance our modeling efforts, though these will be very difficult or impossible to obtain due to liability issues in most jurisdictions.

CRediT authorship contribution statement

Hesam Shafienya: Conceptualization, Methodology, Validation, Data curation, Formal analysis, Visualization, Writing - original

Table 3
4D flight trajectory prediction performance comparison with other models.

Model	MAE	RMSE
CG3D	0.1776	0.2626
3D CNN	0.1785	0.2646
CNN-GRU	0.2164	0.3728

Table 4
MCDropout implementation effect on proposed CG3D model.

Model	MAE	RMSE
CG3D CNN with MC Dropout	0.1406	0.2231
CG3D without MC Dropout	0.1776	0.2626

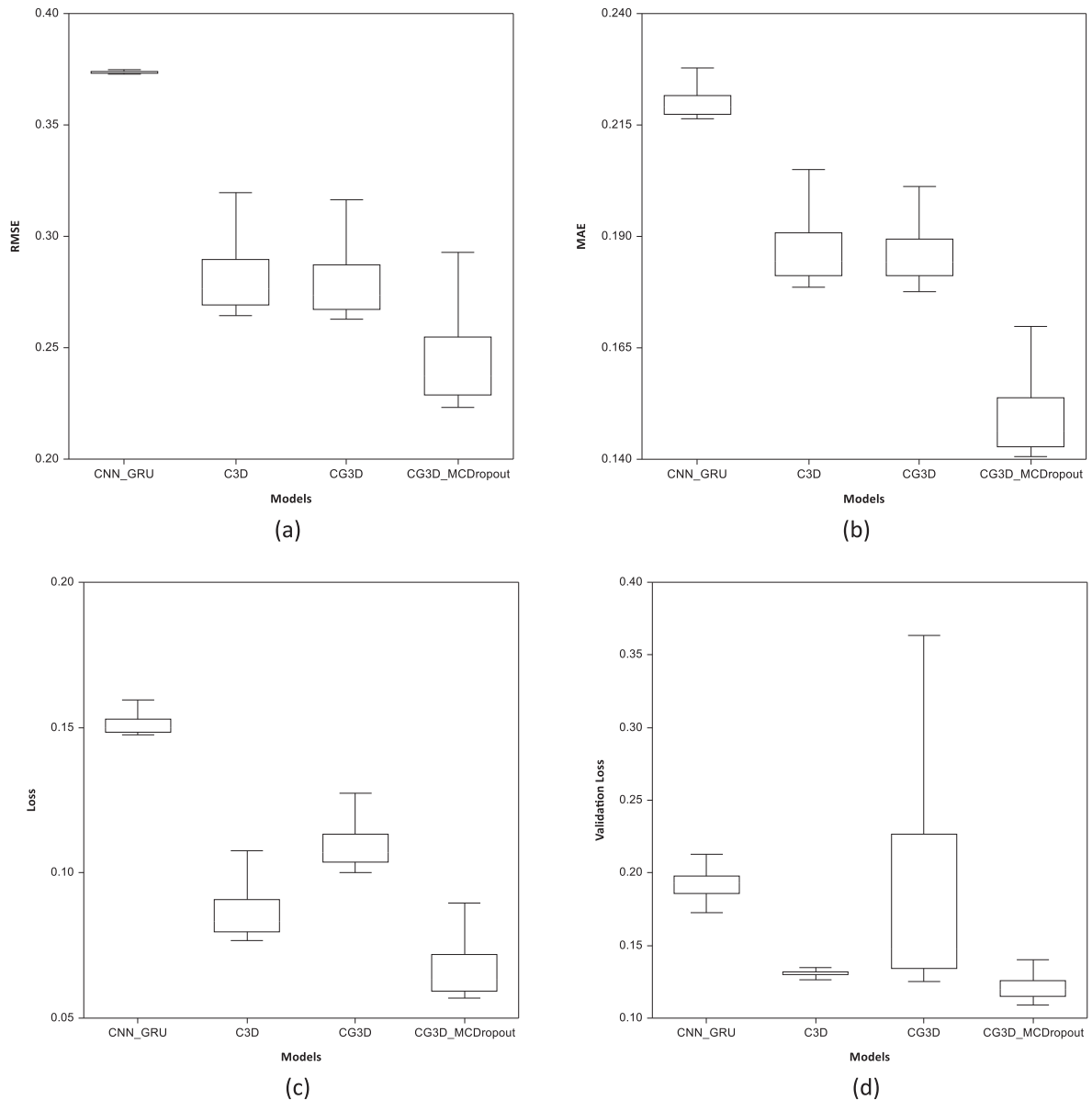


Fig. 6. Error measurement results; (a) RMSE for models; (b) MAE for models; (c) MSE loss; (d) MSE validation loss.

draft, Writing - review & editing. **Amelia C. Regan:** Formal analysis, Visualization, Supervision, Investigation, Writing - review & editing.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Loss and Mean Absolute Error for CRC3D with MCDropout

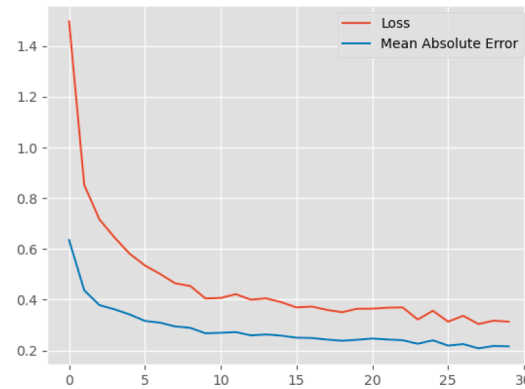


Fig. 7. Loss and Mean Absolute Error for CG3D without MC Dropout.

Loss and Mean Absolute Error for CRC3D

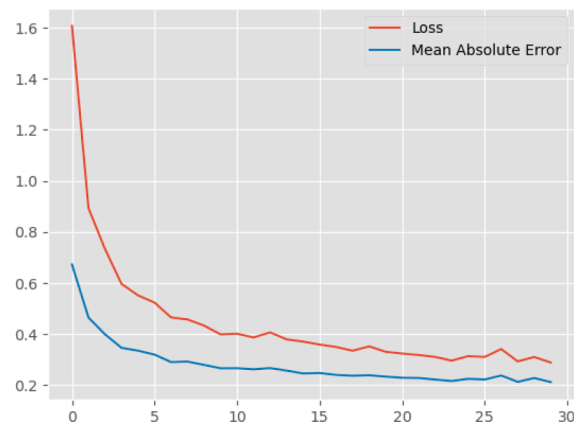


Fig. 8. Loss and Mean Absolute Error for CG3D with MC Dropout.

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